

Theory and phenomenology of small-x TMD factorization

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in collaboration with:

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POLISH ACADEMY OF SCIENCES

Plan

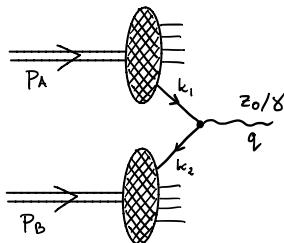
- 1 Introduction
The collinear factorization and the Transverse Momentum Dependent (TMD) factorization theorem for Drell-Yan process.
- 2 Nonuniversality of TMD PDFs
- 3 TMD factorization at small x
- 4 Applications for dijets at LHC in pA and UPC

Part I

Introduction

Introduction

The Drell-Yan process



Suppose we want to calculate $d\sigma/dq_T$ spectrum of the Z_0/γ system.

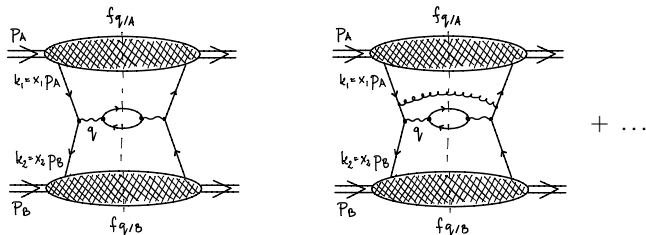
Two different regimes:

- high q_T (collinear factorization)
- low q_T (TMD factorization)

Introduction

Collinear factorization

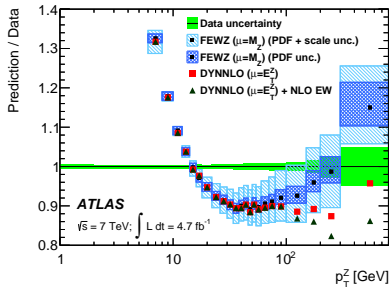
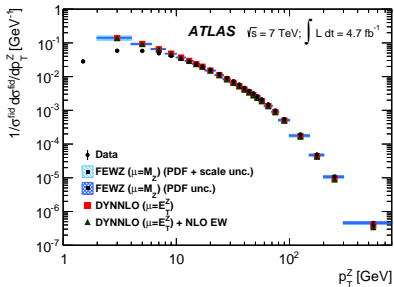
$$d\sigma_{AB} \sim \int dx_1 dx_2 f_{q/A}(x_1, \mu) d\hat{\sigma}_{qq}(x_1, x_2, \mu) f_{q/B}(x_2, \mu)$$



The domain: $\mu \sim M \sim q_T \gg \Lambda_{QCD}$

Introduction

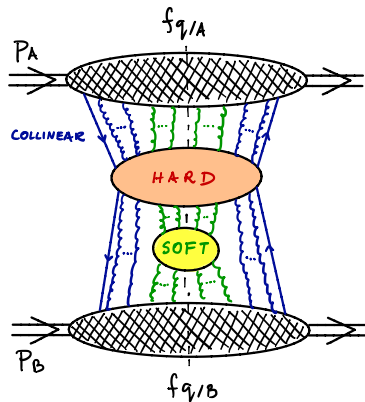
Collinear factorization



[ATLAS, JHEP09(2014)145]

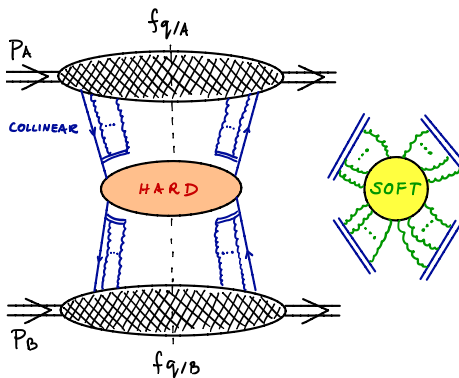
Introduction

Proving factorization is complicated



Introduction

Proving factorization is complicated



- (i) Resummed collinear gluons are included into PDFs,
- (ii) soft factor is shown to be equal to 1.

Introduction

Region $q_T \ll \mu \sim M$

Collinear factorization fails – large logs $\log(q_T/\mu)$

- the soft factor S does not vanish
- the PDFs depend on the transverse momenta

$$\frac{d\sigma}{dq_T^2} \sim \int dx_1 dx_2 d\hat{\sigma}_{qq} \int d^2b e^{-i\vec{q}_T \cdot \vec{b}} S(\mathbf{b}) \tilde{f}_{q/A}(x_1, C/b) \tilde{f}_{q/B}(x_2, C/b)$$

Introduction

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$$\frac{d\sigma}{dq_T^2} \sim \int dx_1 dx_2 d\hat{\sigma}_{qq} \int d^2b e^{-i\vec{q}_T \cdot \vec{b}} S(b) \tilde{f}_{q/A}(x_1, C/b) \tilde{f}_{q/B}(x_2, C/b)$$

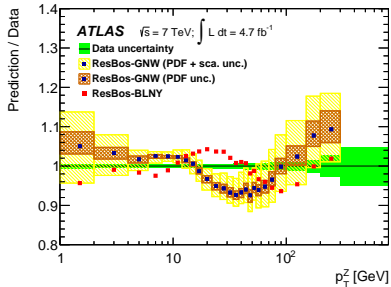
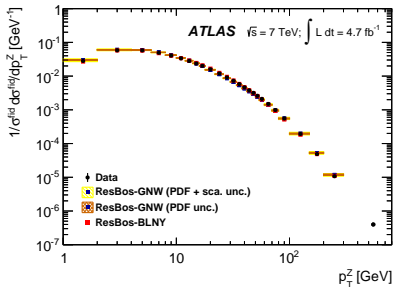
- since S is of a nonperturbative origin it makes sense to include \sqrt{S} into each \tilde{f} PDF to get the TMD factorization formula

$$\frac{d\sigma}{dq_T^2} \sim \int dx_1 dx_2 d\hat{\sigma}_{qq} \int d^2k_T \mathcal{F}_{q/A}(x_1, k_T) \mathcal{F}_{q/B}(x_2, |\vec{k}_T - \vec{q}_T|)$$

- the factorization is proven to leading power $O(q_T/\mu)$ – no q_T flowing into the hard process (in particular, there are no off-shell hard factors)

Introduction

Region $q_T \ll \mu \sim M$



[ATLAS, JHEP09(2014)145]

Part II

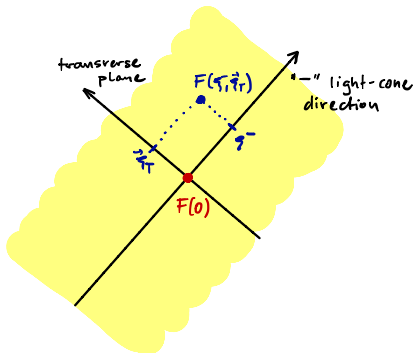
TMD gluon distributions

TMD gluon distributions

Operator definitions

Naive gluon distribution:

$$\mathcal{F}(x, k_T) \sim \int \frac{d\xi^- d^2\xi_T}{(2\pi)^3} e^{ixP^+ \xi^- - i\vec{k}_T \cdot \vec{\xi}_T} \langle P | F_a^{i+}(0) F_a^{i+}(\xi^+ = 0, \xi^-, \vec{\xi}_T) | P \rangle$$



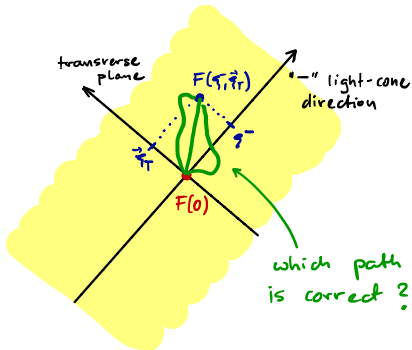
Not gauge invariant – we need the Wilson line.

TMD gluon distributions

Operator definitions

Gauge invariant definition:

$$\mathcal{F}(x, k_T) \sim \int \frac{d\xi^- d^2\xi_T}{(2\pi)^3} e^{ixP^+ \xi^- - i\vec{k}_T \cdot \vec{\xi}_T} \langle P | F_a^{i+}(0) U_{ab}(0, \xi) F_b^{i+}(\xi) | P \rangle$$

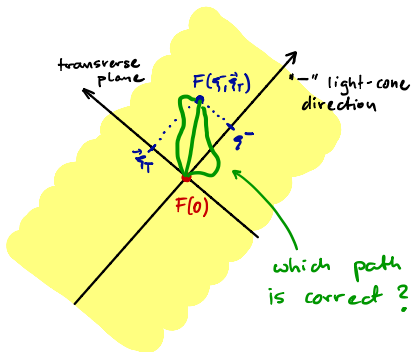


TMD gluon distributions

Operator definitions

Gauge invariant definition:

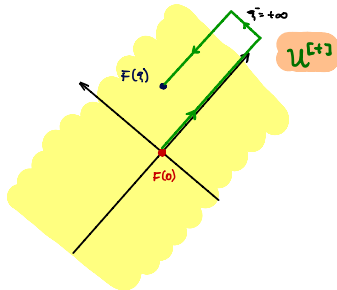
$$\mathcal{F}(x, k_T) \sim \int \frac{d\xi^- d^2\xi_T}{(2\pi)^3} e^{ixP^+ \xi^- - i\vec{k}_T \cdot \vec{\xi}_T} \langle P | F_a^{i+}(0) U_{ab}(0, \xi) F_b^{i+}(\xi) | P \rangle$$



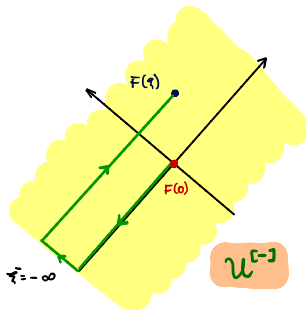
The path follows from factorization of collinear gluons.

TMD gluon distributions

Building blocks of any path (relevant to factorization)



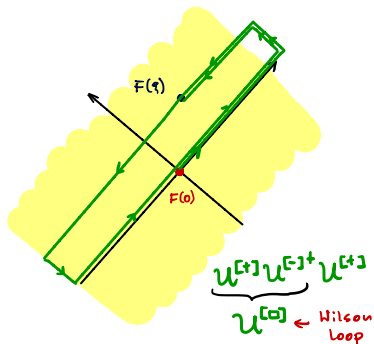
final-state interactions



initial-state interactions

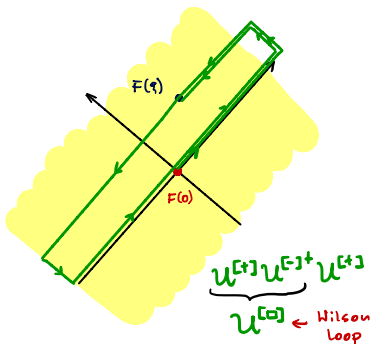
TMD gluon distributions

Example of a more complicated path



TMD gluon distributions

Example of a more complicated path



The path depends on the color structure of the hard process.

- the universality of the TMD parton distributions is lost
- for D-Y and SIDIS, for which factorization theorems are valid, the nonuniversality manifests itself as the change of sign for the polarized part

TMD gluon distributions

How 'deep' is the nonuniversality?

- The operator structures were calculated for hard processes with four ^a, five ^b and six ^b colored partons.
- The gluon TMD for *any process* can be build from 10 'basis' TMD distributions ^b
- In the large N_c limit, the TMD for pure gluonic hard process with n legs was calculated for any n (in the small x limit though) ^b

[^a C.J. Bomhof, P.J. Mulders, F. Pijlman, Eur.Phys.J.C. 47, 147 (2006)]

[^b M. Bury, PK, K. Kutak, arXiv:1809.08968]

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Although these considerations ignore the complete factorization procedure*, these operators are very useful, especially at small x .

* For more then two colored partons there will be no all-order TMD factorization theorem.

TMD gluon distributions

'Basis' TMD gluon distributions

[M. Bury, PK, K. Kutak, arXiv:1809.08968]

$$\mathcal{F}_{qg}^{(1)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[-]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \rangle$$

$$\mathcal{F}_{gg}^{(3)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \rangle$$

$$\mathcal{F}_{qg}^{(2)} \sim \left\langle \frac{\text{Tr}[\mathbf{u}^{[0]}]}{N_c} \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \right\rangle$$

$$\mathcal{F}_{gg}^{(4)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[-]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[-]}] \rangle$$

$$\mathcal{F}_{qg}^{(3)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[0]} \mathbf{u}^{[+]}] \rangle$$

$$\mathcal{F}_{gg}^{(5)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[0]\dagger} \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[0]} \mathbf{u}^{[+]}] \rangle$$

$$\mathcal{F}_{gg}^{(1)} \sim \left\langle \frac{\text{Tr}[\mathbf{u}^{[0]\dagger}]}{N_c} \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[-]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \right\rangle$$

$$\mathcal{F}_{gg}^{(6)} \sim \left\langle \frac{\text{Tr}[\mathbf{u}^{[0]}]}{N_c} \frac{\text{Tr}[\mathbf{u}^{[0]\dagger}]}{N_c} \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \right\rangle$$

$$\mathcal{F}_{qg}^{(2)} \sim \langle \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[0]\dagger}] \text{Tr} [\hat{F}^{i+}(0) \mathbf{u}^{[0]}] \rangle$$

$$\mathcal{F}_{gg}^{(7)} \sim \left\langle \frac{\text{Tr}[\mathbf{u}^{[0]}]}{N_c} \text{Tr} [\hat{F}^{i+}(\xi) \mathbf{u}^{[0]\dagger} \mathbf{u}^{[+]\dagger} \hat{F}^{i+}(0) \mathbf{u}^{[+]}] \right\rangle$$

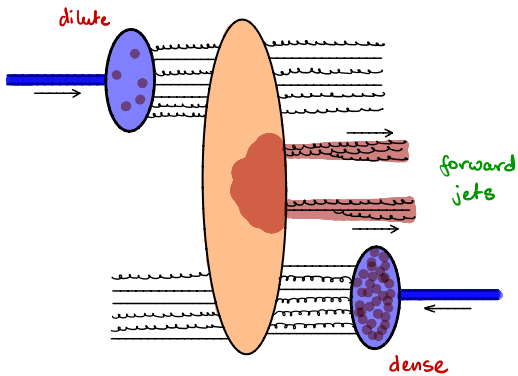
Above we use the fundamental representation for gluon field: $\hat{F}^{\mu\nu} = F_a^{\mu\nu} t^a$.

Part III

Small x TMD factorization

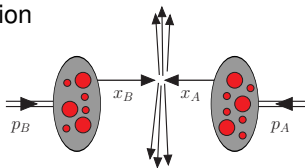
Forward jets

Basic idea



Forward jets

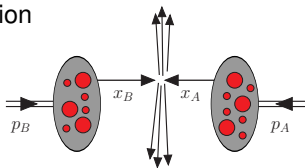
- central production



$$x_A \simeq x_B$$

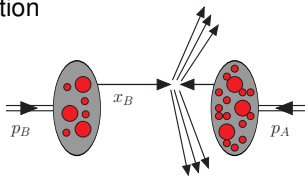
Forward jets

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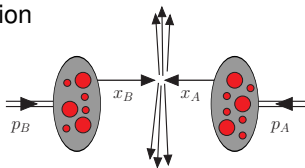
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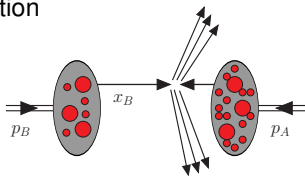
Forward jets

- central production



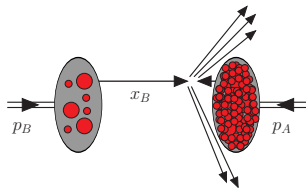
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$$x_A \ll x_B$$

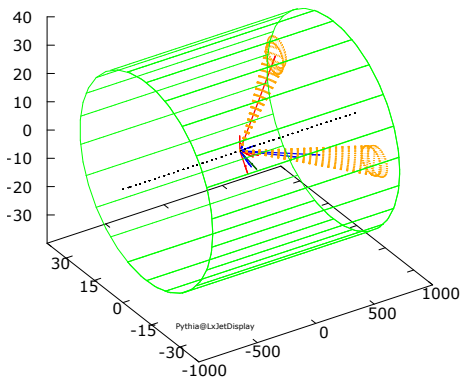
- saturation



$$x_A \text{ really small}$$

Forward jets

Realistic setup at LHC



Example event (PYTHIA):

- jets with $p_{T1} \sim 27 \text{ GeV}$, $p_{T2} \sim 30 \text{ GeV}$
- $y_1, y_2 > 3.5$
- 9 MPI events (not all visible; each in different color)
- jet disbalance $q_T \sim 10 \text{ GeV}$

Framework: Improved TMD factorization (ITMD)

Factorization formula for forward dijets in pA

[PK, K. Kutak, C. Marquet, E. Petreska, S. Sapeta, A. van Hameren, JHEP 1509 (2015) 106]

$$\frac{d\sigma_{AB}}{dy_1 d^2 p_{T1} dy_2 d^2 p_{T1}} \sim \sum_{a,c,d} f_{a/B}(x_B, \mu^2) \sum_{i=1,2} \Phi_{ag \rightarrow cd}^{(i)}(x_A, k_T^2, \mu^2) K_{ag \rightarrow cd}^{(i)}(k_T, \mu^2)$$

$f_{a/B}$ – collinear PDFs in proton

$\Phi_{ag \rightarrow cd}^{(i)}$ – TMD gluon distributions in nucleus for $ag \rightarrow cd$

$K_{ag \rightarrow cd}^{(i)}$ – **off-shell** gauge invariant hard factors

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$$\begin{aligned} \Phi_{qg \rightarrow gq}^{(1)} &= \mathcal{F}_{qg}^{(1)}, & \Phi_{qg \rightarrow gq}^{(2)} &= \frac{1}{N_c^2 - 1} \left(N_c^2 \mathcal{F}_{qg}^{(2)} - \mathcal{F}_{qg}^{(1)} \right), \\ \Phi_{gg \rightarrow q\bar{q}}^{(1)} &= \frac{1}{N_c^2 - 1} \left(N_c^2 \mathcal{F}_{gg}^{(1)} - \mathcal{F}_{gg}^{(3)} \right), & \Phi_{gg \rightarrow q\bar{q}}^{(2)} &= \mathcal{F}_{gg}^{(3)} - N_c^2 \mathcal{F}_{gg}^{(2)}, \\ \Phi_{gg \rightarrow gg}^{(1)} &= \frac{1}{2N_c^2} \left(N_c^2 \mathcal{F}_{gg}^{(1)} - 2\mathcal{F}_{gg}^{(3)} + \mathcal{F}_{gg}^{(4)} + \mathcal{F}_{gg}^{(5)} + N_c^2 \mathcal{F}_{gg}^{(6)} \right), \\ \Phi_{gg \rightarrow gg}^{(2)} &= \frac{1}{N_c^2} \left(N_c^2 \mathcal{F}_{gg}^{(2)} - 2\mathcal{F}_{gg}^{(3)} + \mathcal{F}_{gg}^{(4)} + \mathcal{F}_{gg}^{(5)} + N_c^2 \mathcal{F}_{gg}^{(6)} \right) \end{aligned}$$

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$f_{a/B}$ – collinear PDFs in proton

$\Phi_{ag \rightarrow cd}^{(i)}$ – TMD gluon distributions in nucleus for $ag \rightarrow cd$

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- formula resums power corrections $O(k_T/\mu)$, where the hard scale $\mu \sim$ average transverse momentum of jets
- when $Q_s \sim k_T \ll \mu$ it coincides with Color Glass Condensate (CGC) theory
- when $Q_s \ll k_T \sim \mu$ it coincides with the ordinary k_T factorization
- power-by-power comparison with CGC is under study...
- implemented in the MC code

TMD gluon distributions

How to get the TMD gluon distributions?

At small x the TMD gluon distributions can be identified with the quantities that appear in the **Color Glass Condensate (CGC)** effective theory.

This allows for at least three options:

- 1 use a rough model of a nucleus – the McLarren-Venugopalan model
- 2 use the B-JIMWLK evolution equation known in CGC

[C. Marquet, E. Petreska, C. Roiesnel, JHEP 1610 (2016) 065]

[collaboration with P. Korcyl and K. Cichy on use of the lattice methods]

- 3 in the large N_c limit, there are 6 contributing TMD distributions; in addition, in certain mean field approximation all of them can be calculated from just one independent distribution, so called **dipole gluon distribution** $\mathcal{F}_{qg}^{(1)}$

- it can be taken from the Golec-Biernat-Wusthoff model
- it can be fitted to data assuming certain nonlinear evolution equation

[E. Petreska, Nucl.Phys. A956 (2016) 894-897]

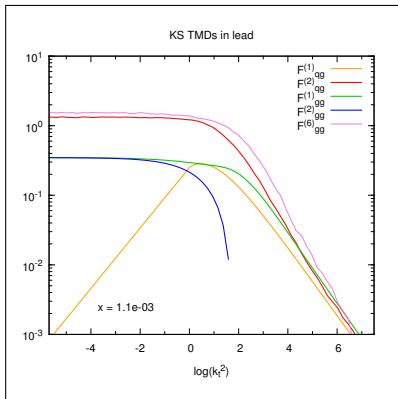
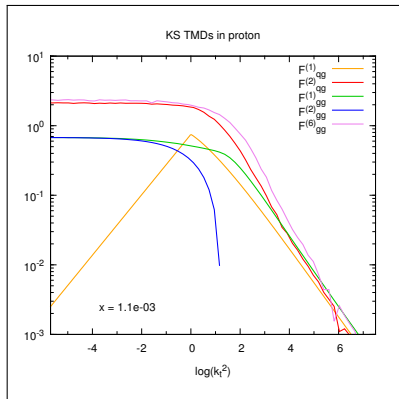
[K. Kutak, S. Sapeta, Phys. Rev. D 86 (2012) 094043]

[A. van Hameren, PK, K. Kutak, C. Marquet, E. Petreska, S. Sapeta]

TMD gluon distributions

The **dipole gluon** was fitted to HERA data by Kutak and Sapeta¹ (KS) using rather involved nonlinear evolution equation proposed by Kutak-Kwieciński².

[A. van Hameren, P.K., K. Kutak, C. Marquet, E. Petreska, S. Sapeta, JHEP 1612 (2016) 034]



All gluons merge for large k_T (except $\mathcal{F}_{gg}^{(2)}$ which vanishes) \Rightarrow correct HEF limit.

¹ K. Kutak, S. Sapeta, Phys. Rev. D 86 (2012) 094043

² K. Kutak, K. Kwiecinski, Eur. Phys. J. C 29 (2003) 521

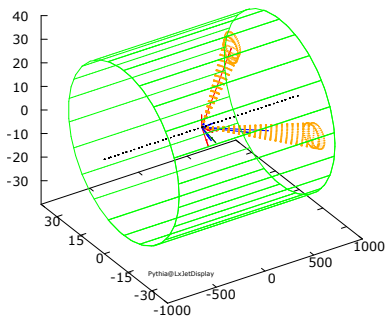
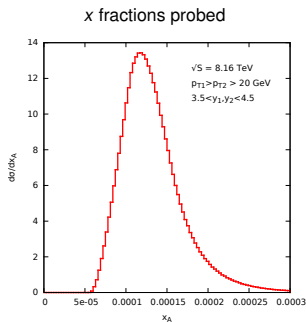
Part IV

Applications to LHC physics

Results for dijet production in pPb at LHC

Kinematic cuts

- CM energy: $\sqrt{S} = 8.16$ TeV
- require two jets with $(\Delta\phi)^2 + (\Delta\eta)^2 > R^2, R = 0.5$
- transverse momenta cuts: $p_{T1} > p_{T2} > 20$ GeV
- rapidity cuts: $3.5 < y_1, y_2 < 4.5$



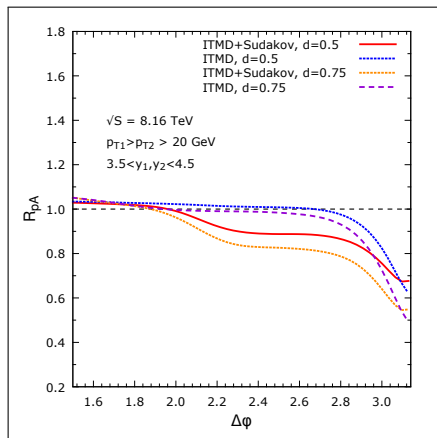
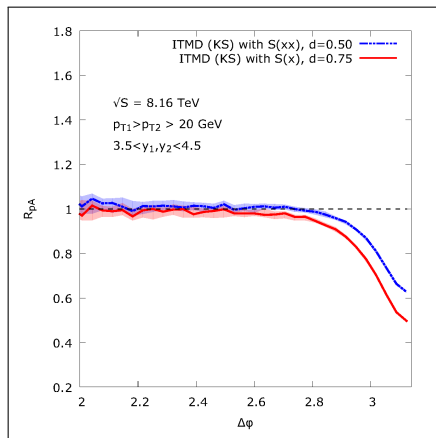
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Results for dijet production in pPb at LHC

Azimuthal decorrelations

[A. van Hameren, PK, K. Kutak, C. Marquet, E. Petreska, S. Sapeta, JHEP 1612 (2016) 034]

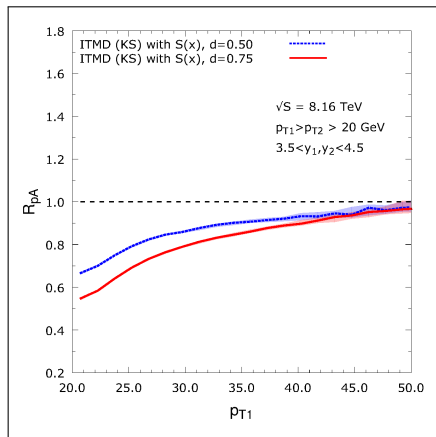


Results for dijet production in pPb at LHC

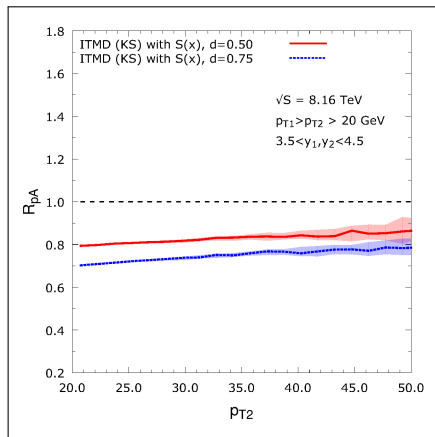
Jet p_T spectra

[A. van Hameren, PK, K. Kutak, C. Marquet, E. Petreska, S. Sapeta, JHEP 1612 (2016) 034]

leading jet spectrum



subleading jet spectrum:



Digression: two basic TMD gluon distributions

In general, there are two most basic TMD gluon distributions, which seem to be fundamental:

[F. Dominguez, C. Marquet, B-W. Xiao, F. Yuan Phys.Rev. D 83 (2011) 105005]

1 dipole gluon distribution

$$\mathcal{F}_{qg}^{(1)} \sim \langle P | \text{Tr} \{ F(\xi) \mathcal{U}^{[-]\dagger} F(0) \mathcal{U}^{[+]} \} | P \rangle$$

appears directly in: inclusive DIS, inclusive jet in pA

2 Weizsacker-Williams (WW) gluon distribution

$$\mathcal{F}_{gg}^{(3)} \sim \langle P | \text{Tr} \{ F(\xi) \mathcal{U}^{[+]\dagger} F(0) \mathcal{U}^{[+]} \} | P \rangle$$

appears directly in dijets in $\gamma A \Rightarrow$ can be studied in UPC

Dijet production in UPC at LHC

Improved TMD factorization for $\gamma A \rightarrow 2j + X$

$$\frac{d\sigma_{\gamma A \rightarrow 2j}}{dy_1 d^2p_{T1} dy_2 d^2p_{T1}} \sim \mathcal{F}_{gg}^{(3)}(x_A, k_T^2, \mu^2) \otimes K_{\gamma g^* \rightarrow q\bar{q}}(k_T, \mu^2)$$

$\mathcal{F}_{gg}^{(3)}$ the WW gluon distribution

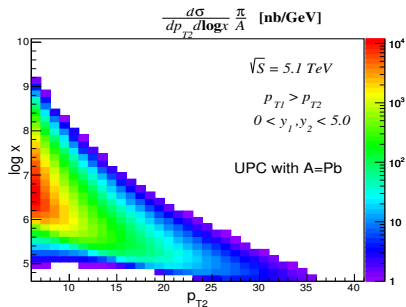
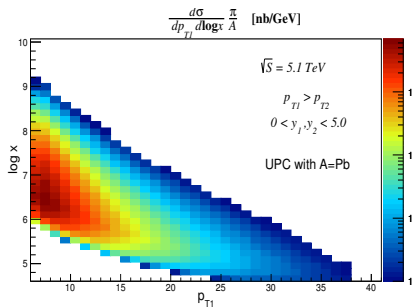
$K_{\gamma g^* \rightarrow q\bar{q}}$ off-shell hard factor for the $\gamma g^* \rightarrow q\bar{q}$ process

- Formula is as simple as for e.g. inclusive DIS, but probes different gluon distribution
- For UPC one needs to convolute this with the photon flux from nucleus (equivalent photon approximation)
- In UPC, the problem is that the photon flux dies out very fast above $x_\gamma \sim 0.03$ for Pb, so there is not much 'space' for the asymmetric kinematics $x_A \ll x_\gamma$ at current LHC energies with reasonable p_T cuts.

Results for dijets in UPC at LHC

Kinematic cuts

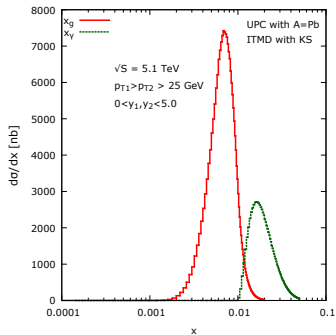
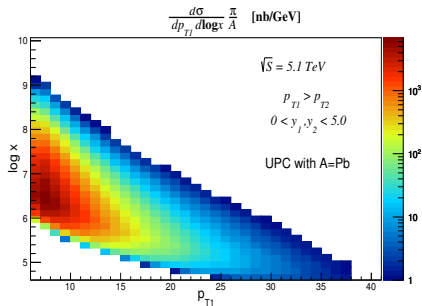
CM energy: 5.1 TeV	rapidity: $0 < y_1, y_2 < 5$
transverse momenta: $p_{T1} > p_{T2} > p_{T0}$, $p_{T0} = 6 \div 25$ GeV	jet algorithm: $R = 0.5$



Results for dijets in UPC at LHC

Kinematic cuts

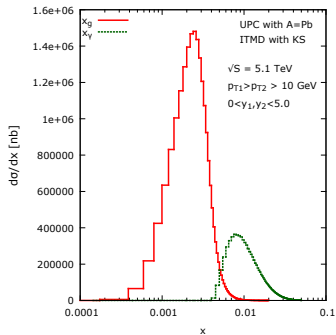
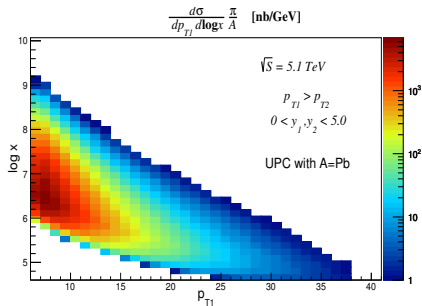
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Results for dijets in UPC at LHC

Kinematic cuts

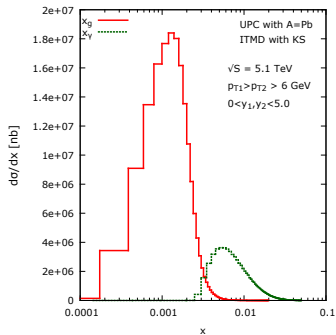
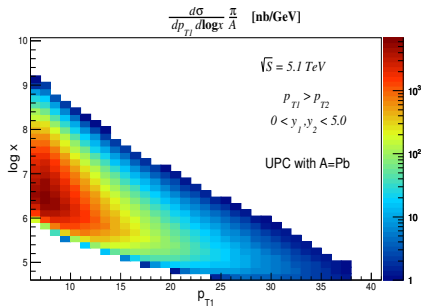
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transverse momenta: $p_{T1} > p_{T2} > p_{T0}, p_{T0} = 6 \div 25$ GeV	jet algorithm: $R = 0.5$



Results for dijets in UPC at LHC

Kinematic cuts

CM energy: 5.1 TeV	rapidity: $0 < y_1, y_2 < 5$
transverse momenta: $p_{T1} > p_{T2} > p_{T0}, p_{T0} = 6 \div 25$ GeV	jet algorithm: $R = 0.5$



Results for dijets in UPC at LHC

Nuclear modification factor $R_{\gamma A}$

For UPC collisions we define the nuclear modification ratio as

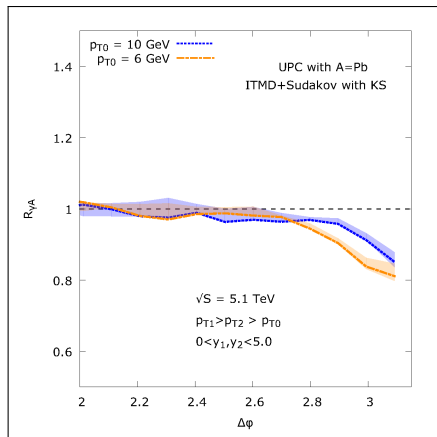
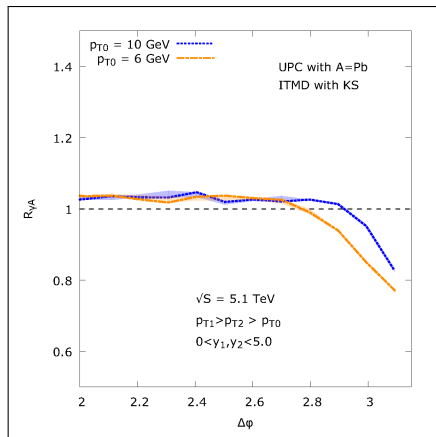
$$R_{\gamma A} = \frac{d\sigma_{AA}^{\text{UPC}}}{A d\sigma_{Ap}^{\text{UPC}}}$$

where $A = \text{Pb}$ and the $d\sigma_{Ap}^{\text{UPC}}$ is with jets going in the nucleus direction.

Results for dijets in UPC at LHC

Azimuthal decorrelations

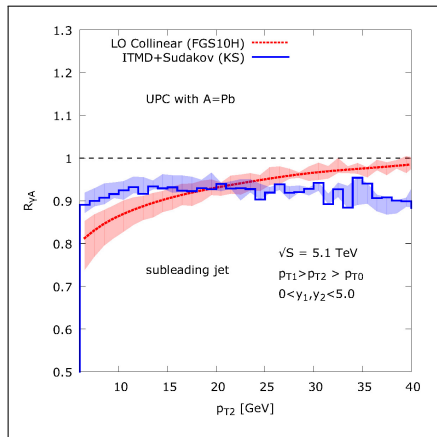
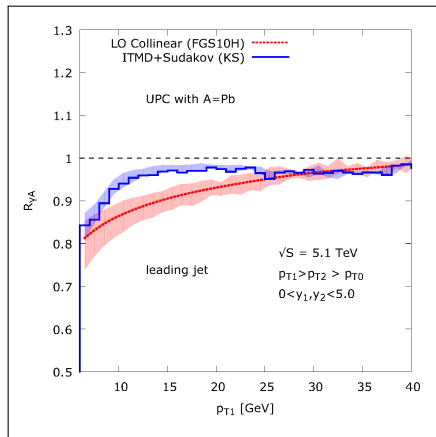
[PK, K. Kutak, S. Sapeta, A. Stasto, M. Strikman, Eur.Phys.J. C77 (2017) no.5, 353]



Results for dijets in UPC at LHC

Jet p_T spectra

[PK, K. Kutak, S. Sapeta, A. Stasto, M. Strikman, Eur.Phys.J. C77 (2017) no.5, 353]



Summary & Outlook

Summary

- Forward jet production, taking into account gluon saturation, can be formulated using the TMD factorization-like framework
- Main complication: several process dependent gluon distributions
- Two basic gluon distributions: dipole and WW
- Dijets UPC is a clean probe for WW gluon distribution
- Pheno predicts large suppression of nuclear modification ratio for pA , around 35-40%
- For UPC the suppression is rather small, but visible, up to 20%

We obviously need:

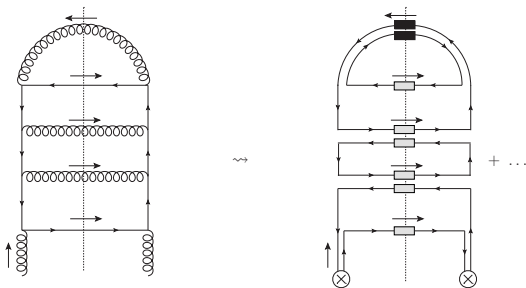
- Better TMD gluon distributions, ideally from B-JIMWLK with kinematic constraint
- NLO computations...

BACKUP

TMD gluon distributions

Example: TMD for a particular diagram

[M. Bury, PK, K. Kutak, arXiv:1809.08968]



$$N_c \text{Tr} \left\{ F(\xi) \mathcal{U}^{[+] \dagger} F(0) \mathcal{U}^{[+]} \right\} \text{Tr} \mathcal{U}^{[\square]} \text{Tr} \mathcal{U}^{[\square] \dagger}$$

where Wilson lines and loops are defined as

$$\mathcal{U}^{[\pm]} = U(0, \pm\infty; 0_T) U(\pm\infty, \xi^\pm; \xi_T) \quad \mathcal{U}^{[\square]} = \mathcal{U}^{[+]} \mathcal{U}^{[-] \dagger} = \mathcal{U}^{[-]} \mathcal{U}^{[+] \dagger}$$

Off-shell gauge invariant amplitudes

Color decomposition of gluon amplitudes:

$$\mathcal{M}^{a_1 \dots a_N}(\varepsilon_1^{\lambda_1}, \dots, \varepsilon_N^{\lambda_N}) = \sum_{\sigma \in S_N} \text{Tr}(t^{a_{\sigma_1}} t^{a_{\sigma_2}} \dots t^{a_{\sigma_N}}) \mathcal{M}(\sigma_1^{\lambda_{\sigma_1}}, \sigma_2^{\lambda_{\sigma_2}}, \dots, \sigma_N^{\lambda_{\sigma_N}})$$

a_i - color indices, $\varepsilon_i^{\lambda_i}$ - polarization vectors with helicity λ_i , S_N - set of noncyclic permutations.

In spinor formalism, the non-zero off-shell helicity amplitudes have the form of the MHV amplitudes with certain modification of spinor products:

[A. van Hameren, PK, K. Kutak, JHEP 1212 (2012) 029]

$$\mathcal{M}_{g^*g \rightarrow gg}(1^*, 2^-, 3^+, 4^+) = 2g^2 \rho_1 \frac{\langle 1^*2 \rangle^4}{\langle 1^*2 \rangle \langle 23 \rangle \langle 34 \rangle \langle 41^* \rangle}$$

$$\mathcal{M}_{g^*g \rightarrow gg}(1^*, 2^+, 3^-, 4^+) = 2g^2 \rho_1 \frac{\langle 1^*3 \rangle^4}{\langle 1^*2 \rangle \langle 23 \rangle \langle 34 \rangle \langle 41^* \rangle}$$

$$\mathcal{M}_{g^*g \rightarrow gg}(1^*, 2^+, 3^+, 4^-) = 2g^2 \rho_1 \frac{\langle 1^*4 \rangle^4}{\langle 1^*2 \rangle \langle 23 \rangle \langle 34 \rangle \langle 41^* \rangle}$$

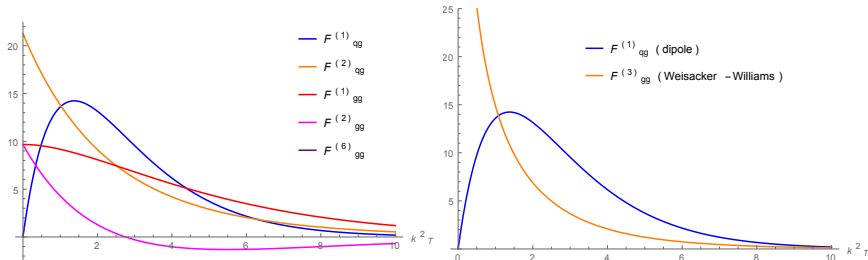
where $\langle ij \rangle = \langle k_i - |k_j \rangle$ with spinors defined as $|k_{i\pm} \rangle = \frac{1}{2} (1 \pm \gamma_5) u(k_i)$. Spinor products for off-shell states involve only longitudinal component of the off-shell momentum $\langle 1^*i \rangle = \langle p_1 i \rangle$, where $k_1 = p_1 + k_{T1}$, $k_1^2 \neq 0$, $p_1^2 = 0$.

TMD gluon distributions: GBW model

The Golec-Biernat-Wusthoff (GBW) model:

$$\mathcal{F}_{qg}^{(1)}(x, k_T^2) = \frac{N_c S_\perp}{2\pi^3 \alpha_s} \frac{k_T^2}{Q_s^2(x)} \exp\left(-\frac{k_T^2}{Q_s^2(x)}\right), \quad Q_s(x) = Q_{s0}^2 \left(\frac{x}{x_0}\right)^\lambda$$

Assuming gaussian distribution of colour sources all five gluons can be calculated analytically¹



¹ E. Petreska, Proceedings, 7th International Workshop MPI@LHC 2015