

Studies of fluctuations and correlations in high energy heavy ion collisions

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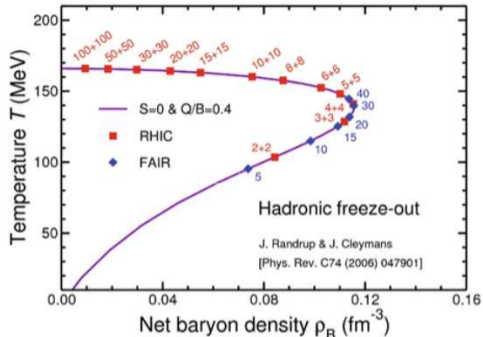
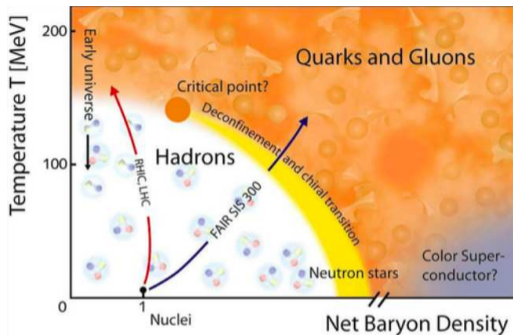
Outline

- ★ Introduction
- ★ Part 1: Studies of conserved charge fluctuations in Au-Au collisions with CBM
 - CBM experiment
 - Analysis details
 - Results: Net-proton cumulants
- ★ Part2: Development of interacting HRG model using s-matrix formalism
- ★ Summary



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QCD phase diagram



★ Study QCD phase diagram at high net-baryon density

- At high net-baryon density and low temperature, first order phase transition is expected which will end at a critical point (CP)
- CBM program supplements the Beam Energy Scan Program at RHIC, NA61 at SPS, NICA at JINR

Ref: CBM: EPJA 53, 60 (2017); PRC 74, 047901 (2006)

Observables for CP search

Cumulants

$$C_1 = \langle N_q \rangle, \quad C_2 = \langle (\delta N_q)^2 \rangle, \quad C_3 = \langle (\delta N_q)^3 \rangle, \\ C_4 = \langle (\delta N_q)^4 \rangle - 3 \langle (\delta N_q)^2 \rangle^2$$

$N_q = N_{q+} - N_{q-}$ and $\delta N_q = N_q - \langle N_q \rangle$

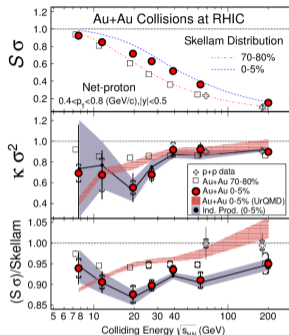
q can be any conserved quantum number

(net-baryon, net-charge, net-strangeness etc.)

Mean, variance, skewness, kurtosis

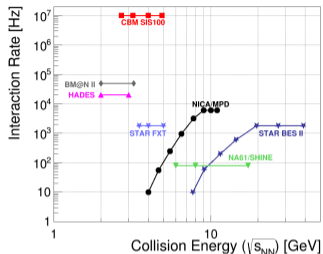
$$M = C_1, \quad \sigma^2 = C_2, \quad S = \frac{C_3}{\sigma^3}, \quad \kappa = \frac{C_4}{\sigma^4}$$

- ★ Higher moments of conserved quantities are sensitive to correlation length
 $\langle (\delta N_q)^2 \rangle \sim \zeta^2$ $\langle (\delta N_q)^3 \rangle \sim \zeta^{4.5}$ $\langle (\delta N_q)^4 \rangle \sim \zeta^7$
- ★ Non-monotonic variations of $S\sigma = C_3/C_2$, $\kappa\sigma^2 = C_4/C_2$ with beam energy are believed to be good signatures of CP



Ref: STAR: PRL 112, 032302 (2014); PRL 102, 032301 (2009)

CBM experiment



- ★ Fixed target experiment
- ★ SIS 100: Au + Au collision, $\sqrt{s_{NN}} = 2.7 - 4.9$ GeV
- ★ High interaction rate
- ★ High statistics data
- ★ Density in the center of the fireball expected to exceed few times greater than density of nucleus

Challenges of higher moments measurements at CBM

- ★ Particle identification
- ★ Non-trivial variations of efficiency \times acceptance with p_T and rapidity (proper method of corrections needed)
- ★ Proper vertex identification in multiple collisions

Ref: CBM overview talk at QM2019 by Viktor Klochkov; EPJA 53, 60 (2017); The CBM Physics Book, Lect. Notes Phys. 814, Springer 2011; PRC 75 (2007) 034902

Simulation details

- ★ Event generators: UrQMD
- ★ Collision: Au+Au
- ★ Energy: $E_{lab} = 10$ AGeV
($\sqrt{s_{NN}} = 4.72$ GeV)
- ★ Events: 5 M (minimum bias)

Detectors used:

MVD, STS, RICH, TOF

MVD: Vertex information

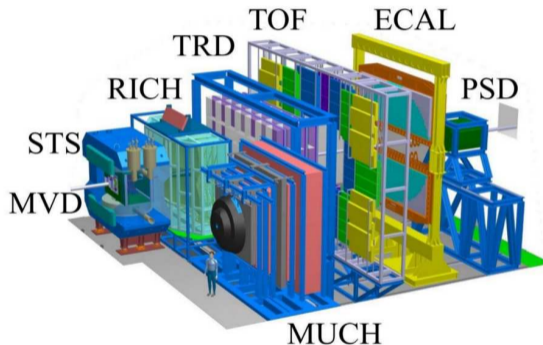
STS: Momentum information

RICH: Electron identification

TOF: Hadron identification

Detector acceptance:

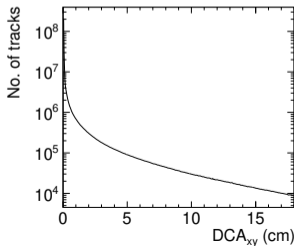
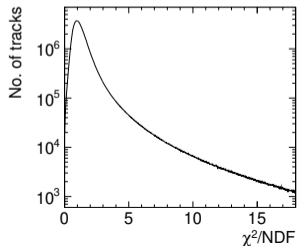
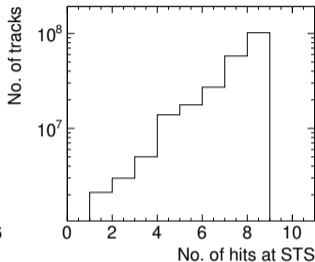
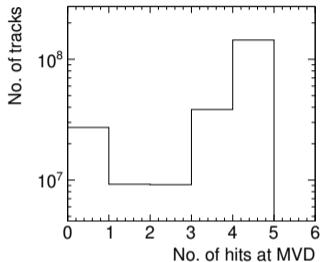
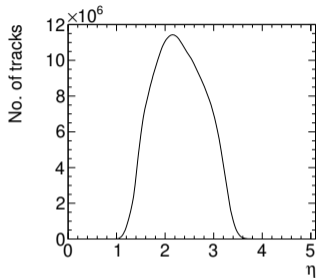
$1.5 < \eta < 3.8$ ($25^\circ > \theta > 2.5^\circ$)



sis100 setup

Track selection

Distributions before applying cuts:



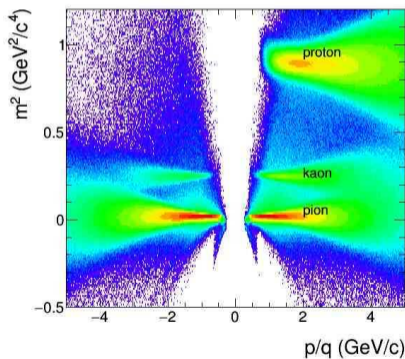
Track cuts

$1.5 < \eta < 3.8$
 $n\text{Hits MVD} \geq 2$
 $n\text{Hits STS} \geq 4$
 $\chi^2/\text{NDF} < 3$
 $\text{DCA}_{xy} < 1 \text{ cm}$

Particle identification using TOF

Detector provides time of flight (t)

$$\frac{1}{\beta} = \sqrt{1 + \left(\frac{m}{p}\right)^2} = \frac{tc}{L}, \quad m^2 = p^2 \left(\left(\frac{1}{\beta}\right)^2 - 1 \right)$$



★ Clean particle identification for bulk properties studies

Centrality selection

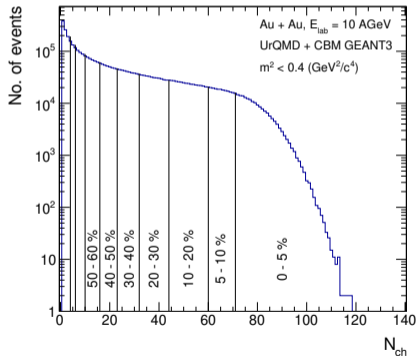
★ **Centrality detectors: STS, PSD**

■ **Detector used: STS**

To remove auto correlation in net-proton study

★ Charge particles selected excluding p, \bar{p}

★ $m^2 < 0.4$ (GeV^2/c^4)



Centrality (%)	N_{ch}
0-5	$N_{\text{ch}} \geq 71$
5-10	$60 \leq N_{\text{ch}} < 71$
10-20	$44 \leq N_{\text{ch}} < 60$
20-30	$32 \leq N_{\text{ch}} < 44$
30-40	$23 \leq N_{\text{ch}} < 32$
40-50	$16 \leq N_{\text{ch}} < 23$
50-60	$10 \leq N_{\text{ch}} < 16$
60-70	$6 \leq N_{\text{ch}} < 10$
70-80	$4 \leq N_{\text{ch}} < 6$

Multiplicities are uncorrected for efficiency and acceptance

Proton (anti-proton) selection

Mass square cut:

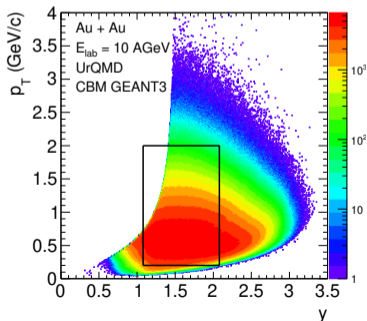
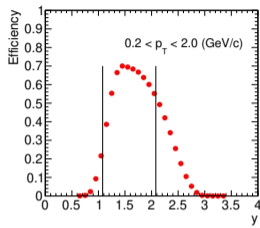
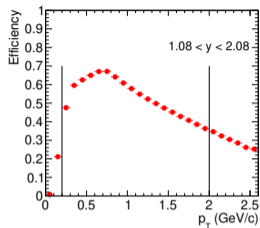
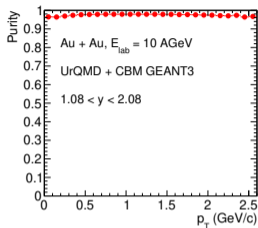
$$0.6 < m^2 < 1.2 \text{ GeV}^2/c^4$$

Rapidity acceptance:

$$\Delta y = 1 \quad (y_{mid} = 1.58)$$

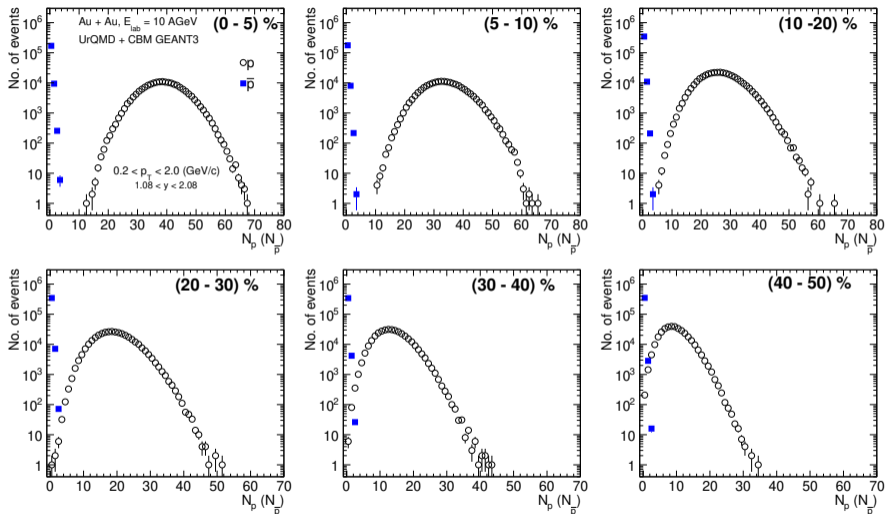
p_T acceptance:

$$0.2 < p_T < 2 \text{ GeV}/c$$



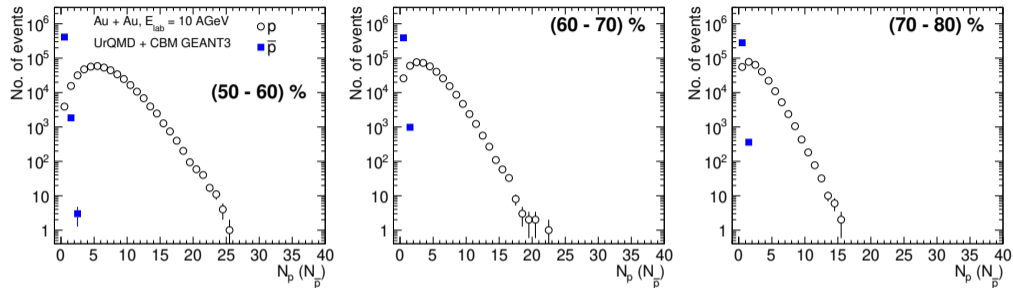
- ★ Purity > 96 %
- ★ Efficiency decreases at high p_T due to the detector acceptance
- ★ Efficiency for 0-5 % and 70 -80 % centralities are $\simeq 62$ % and $\simeq 46$ %

Proton (anti-proton) multiplicity distributions



Uncorrected distributions for efficiency and acceptance

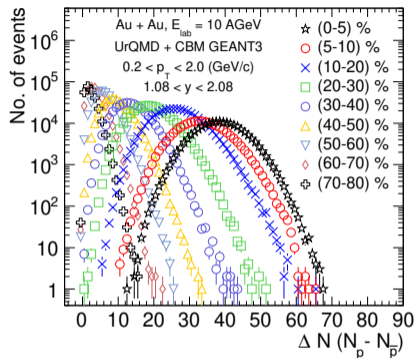
Proton (anti-proton) multiplicity distributions



(Uncorrected distributions for efficiency and acceptance)

- ★ Proton multiplicities follow negative binomial distribution
- ★ Number of \bar{p} is very less compared to p
($\bar{p}/p = 7.8 \times 10^{-5}$ (0 -5 %), $\bar{p}/p = 2.5 \times 10^{-4}$ (70 - 80 %) from UrQMD)
- ★ Proton distributions are skewed more to the right side of the mean

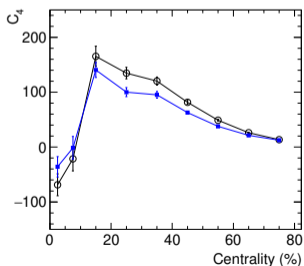
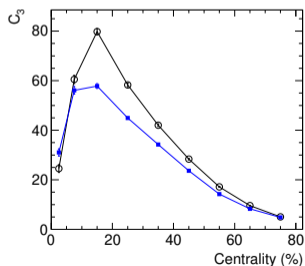
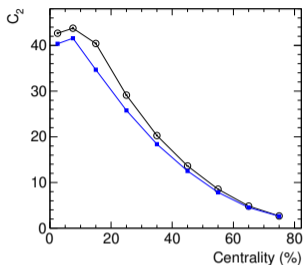
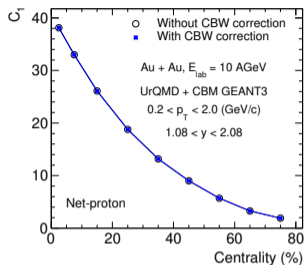
Net-proton multiplicity distributions



Uncorrected for efficiency and acceptance

- ★ Mean and variance decreases from central towards the peripheral collisions
- ★ Distributions are skewed more to the right side of the mean

C_n of net-proton vs centrality (%)



Centrality bin width correction

$$C_n = \sum_r w_r C_{n,r}$$

$$w_r = \frac{n_r}{\sum_r n_r}$$

$$\sum_r w_r = 1$$

sum is over multiplicity bins

- ★ CBWC done to suppress volume fluctuations
- ★ Statistical error estimation is done using Delta theorem

Ref: Advanced Theory of Statistics: Vol.1, London (1945);
 Asymptotic Theory of Statistics and Probability, Springer
 (2008); JPG 39, 025008 (2012); JPG 40, 105104 (2013)

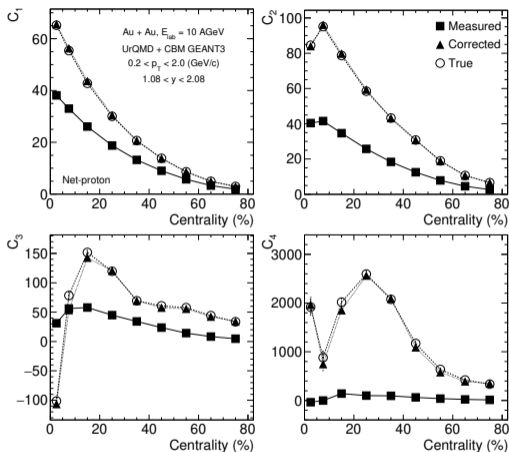
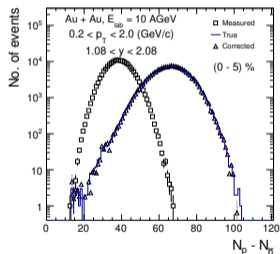
Correction of cumulants of net-proton using Unfolding method

Algorithm used: RooUnfoldBayes

Relationship between measured and true distribution: $y = Rx$

y = measured, x = true, R = response matrix

★ 50 % events are used to construct R



★ We are able to get back cumulants of 'True', even if the efficiency is non-binomial and has non-trivial dependence on p_T and rapidity

Ref: NIMA 362, 487 (1995)

Ideal Hadron Resonance Gas model

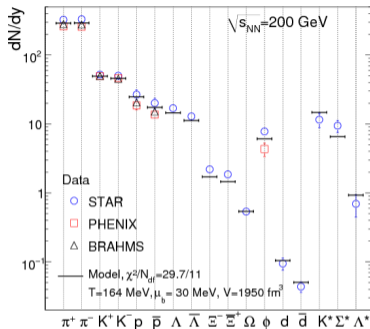
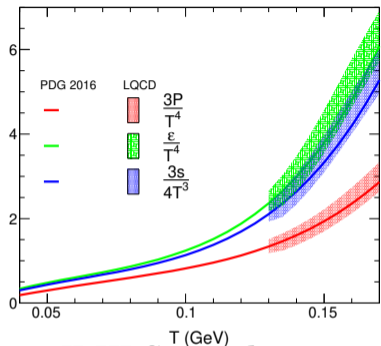
- ★ System consists of all the hadrons including resonances (non-interacting point particles)
- ★ Hadrons are in thermal and chemical equilibrium
- ★ The grand canonical partition function of a hadron resonance gas: $\ln Z = \sum_i \ln Z_i$

- ★ For i th hadron/resonance,

$$\ln Z_i^{id} = \frac{Vg_i}{2\pi^2} m_i^2 T \sum_{j=1}^{\infty} (\pm 1)^{j-1} (z^j / j^2) K_2(jm_i/T), \quad z = \exp(\mu/T),$$
$$\mu_i = B_i \mu_B + S_i \mu_S + Q_i \mu_Q$$

- The + (-) sign refers to bosons (fermions)
- The first term ($j = 1$) corresponds to the classical ideal gas
- Width of the resonances are ignored

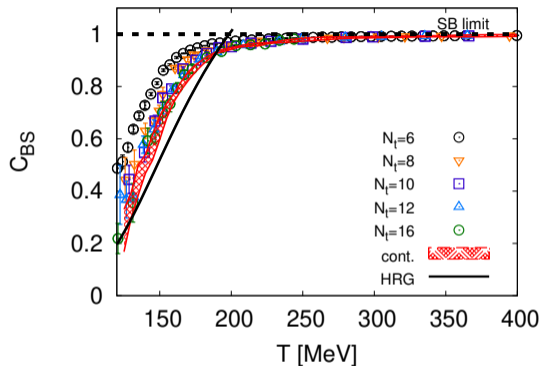
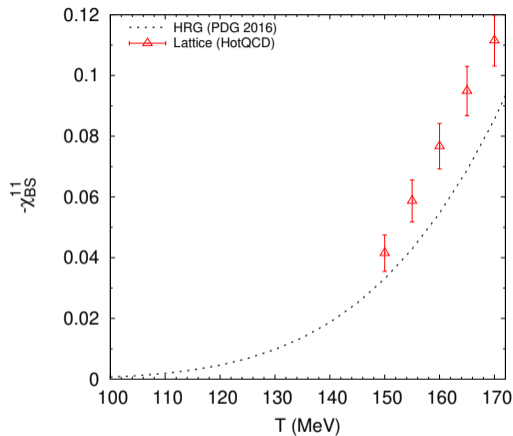
Success of IDHRG



- ★ IDHRG provides a satisfactory description in the hadronic phase of continuum LQCD data
- ★ Can describe hadronic multiplicities

S. Samanta et al. JPG 46, 065106 (2019); LQCD data: A. Bazavov et al. (HotQCD), PRD 90, 094503 (2014), Andronic, A. et al. PLB673, 142 (2009)

Problem to quantify χ_{BS} , C_{BS}



Ref: A. Borsanyi et al., JHEP01, 138 (2012)

★ IDHRG fails to describe χ_{BS} , $C_{BS} = -3\chi_{BS}^{11}/\chi_S^2$

⇒ Interaction is needed

Classical Virial Expansion (Non-relativistic)

$$P = \frac{NT}{V} \left(1 + \left(\frac{N}{V} \right) B(T) + \left(\frac{N}{V} \right)^2 C(T) + \dots \right)$$

- ★ The first term in the expansion corresponds to an ideal gas
- ★ The second term is obtained by taking into account the interaction between pairs of particles and subsequent terms involve the interaction between groups of three, four, etc. particles
- ★ B, C, \dots are called second, third, etc., virial coefficients

Second virial coefficient

$$B(T) = \frac{1}{2} \int (1 - e^{-U_{12}/T}) dV$$

U_{12} is the two body interaction energy

Relativistic Virial Expansion

$$\ln Z = \ln Z_0 + \sum_{i_1, i_2} z_1^{i_1} z_2^{i_2} b(i_1, i_2)$$

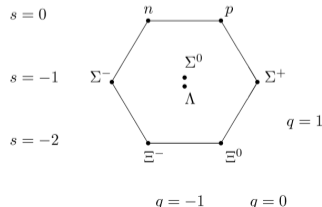
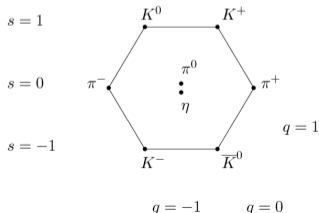
$$b(i_1, i_2) = \frac{V}{4\pi i} \int \frac{d^3 p}{(2\pi)^3} \int d\varepsilon \exp\left(-\beta(p^2 + \varepsilon^2)^{1/2}\right) \left[\left\{ \mathbf{S}^{-1} \frac{\partial \mathbf{S}}{\partial \varepsilon} - \frac{\partial \mathbf{S}^{-1}}{\partial \varepsilon} \mathbf{S} \right\} \right]$$

$aa \rightarrow R \rightarrow aa, ab \rightarrow R \rightarrow ab, aab \rightarrow R \rightarrow aab$ etc.

- ★ z_1 and z_2 are fugacities of two species ($z = e^{\beta\mu}$)
- ★ The labels i_1 and i_2 refer to a channel of the S-matrix which has an initial state containing $i_1 + i_2$ particles

Second virial coefficient

$$b_2 = b(i_1, i_2)/V \text{ where } i_1 = i_2 = 1$$



$\pi\pi \rightarrow R \rightarrow \pi\pi$
 $\pi K \rightarrow R \rightarrow \pi K$
 $KK \rightarrow R \rightarrow KK$
 $\pi N \rightarrow R \rightarrow \pi N$
 etc.

Interacting part of pressure

b_2 in terms of phase shift

$$b_2 = \frac{1}{2\pi^3\beta} \int_M d\varepsilon \varepsilon^2 K_2(\beta\varepsilon) \sum_{I,l} ' g_{I,l} \frac{\partial \delta_l^I(\varepsilon)}{\partial \varepsilon}$$

$$\begin{aligned} P_{\text{int}} &= \frac{1}{\beta} \frac{\partial \ln Z_{\text{int}}}{\partial V} = \frac{1}{\beta} z_1 z_2 b_2 \\ &= \frac{z_1 z_2}{2\pi^3 \beta^2} \int_M d\varepsilon \varepsilon^2 K_2(\beta\varepsilon) \sum_{I,l} ' g_{I,l} \frac{\partial \delta_l^I(\varepsilon)}{\partial \varepsilon} \end{aligned}$$

- ★ Interaction is attractive (repulsive) if derivative of the phase shift is positive (negative)

K-matrix formalism (Attractive part of the interaction)

Scattering amplitude: $S_{ab \rightarrow cd} = \langle cd | S | ab \rangle$

Scattering operator (matrix)

$$S = I + 2iT$$

S is unitary

$$SS^\dagger = S^\dagger S = I$$

$$(T^{-1} + iI)^\dagger = T^{-1} + iI$$

$$K^{-1} = T^{-1} + iI, \quad K = K^\dagger \text{ (i.e., K matrix is real and symmetric)}$$

Phase shift in K-matrix formalism

$$\operatorname{Re} T = K(I + K^2)^{-1}, \quad \operatorname{Im} T = K^2(I + K^2)^{-1} \Rightarrow \operatorname{Im} T / \operatorname{Re} T = K$$

$$K_{ab \rightarrow R \rightarrow ab} = \sum_R \frac{m_R \Gamma_{R \rightarrow ab}(\sqrt{s})}{m_R^2 - s}$$

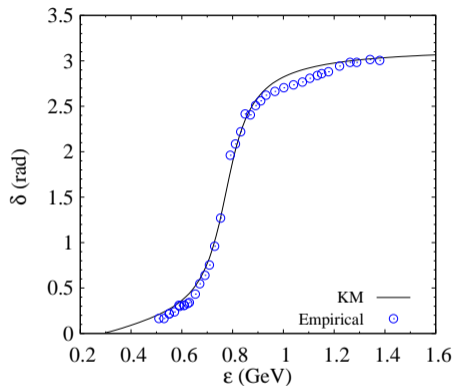
Resonances appear as sum of poles in the K matrix

Partial wave decomposition

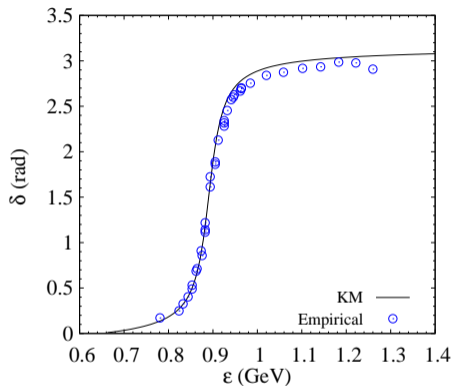
$$\begin{aligned} S_l &= \exp(2i\delta_l) = 1 + 2iT_l \\ &\Rightarrow T_l = \exp(i\delta) \sin(\delta_l) \\ \operatorname{Re} T_l &= \sin(\delta_l) \cos(\delta_l), \quad \operatorname{Im} T_l = \sin^2(\delta_l) \end{aligned}$$

$$K = \tan(\delta_l), \quad \delta_l = \tan^{-1}(K)$$

Phase shift: Empirical vs KM



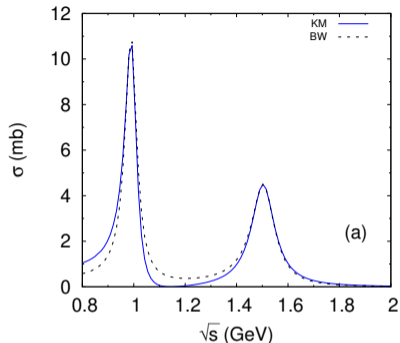
$\pi\pi \rightarrow \rho(770) \rightarrow \pi\pi$



$\pi K \rightarrow K^*(892) \rightarrow \pi K$

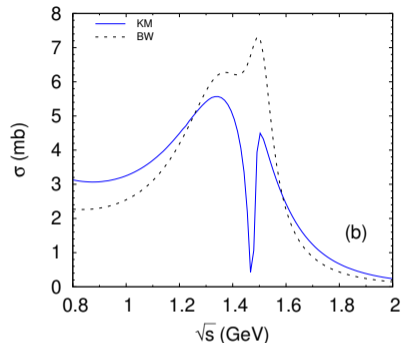
- ★ Good agreement between the empirical phase shifts of resonances and the K-matrix approach

Comparison between K-matrix and Breit-Wigner approach



$f_0(980)$ ($m_1 = 990$ MeV, $\Gamma_1 = 55$ MeV)

and $f_0(1500)$ ($m_2 = 1505$ MeV, $\Gamma_2 = 109$ MeV)



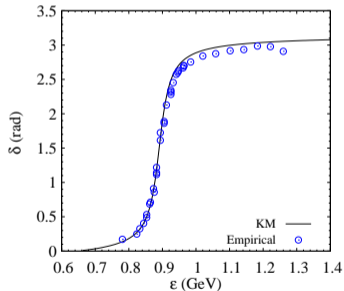
$f_0(1370)$ ($m_1 = 1370$ MeV, $\Gamma_1 = 350$ MeV)

and $f_0(1500)$ ($m_2 = 1505$ MeV, $\Gamma_2 = 109$ MeV)

- ★ KM formalism preserves the unitarity of the S matrix and neatly handles overlapping resonances

Ideal gas limit

- ★ For a narrow resonance, δ_l^I changes rapidly through π radian around $\varepsilon = m_R$
- ★ δ_l^I can be approximated by a step function: $\delta_l^I \sim \Theta(\varepsilon - m_R)$
- ★ $\partial\delta_l^I/\partial\varepsilon \approx \pi\delta(\varepsilon - m_R)$

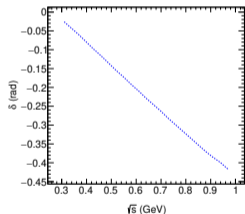
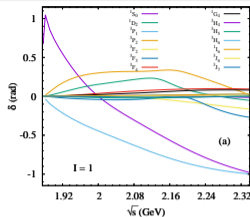
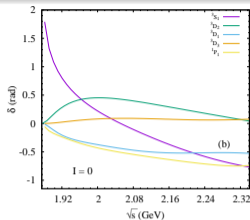


$$b_2 = \frac{1}{2\pi^3\beta} \int_M^\infty d\varepsilon \varepsilon^2 K_2(\beta\varepsilon) \sum_{l,I}' g_{l,I} \frac{\partial\delta_l^I(\varepsilon)}{\partial\varepsilon}$$
$$= \frac{g_{l,I}}{2\pi^2} m_R^2 T K_2(\beta m_R)$$

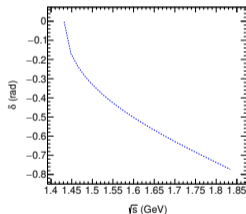
$$P_{\text{int}} = T z_1 z_2 b_2 = P_{\text{id}}^R$$

- ★ Pressure exerted by an ideal (MB) gas of particles of mass m_R
- ★ This establishes the fundamental premise of the IDHRG model

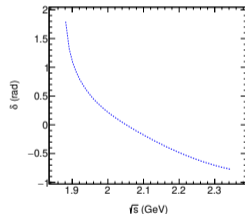
Repulsive interaction from experimental data of phase shift



$\pi\pi: (\delta_0^2)$



$KN: S_{11}$



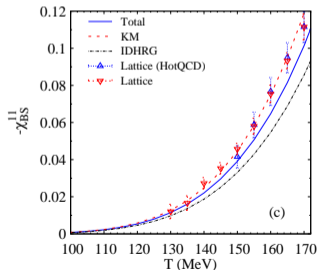
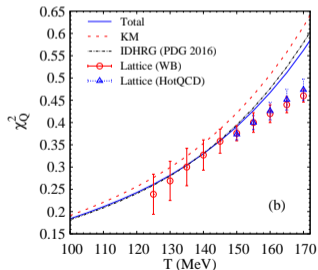
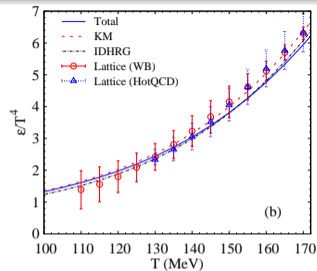
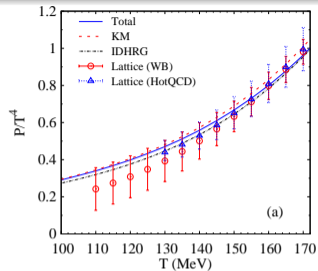
$\pi N: S_{31}$

- ★ NN interaction: All available data
- ★ $\pi\pi$ repulsive interaction: δ_0^2
- ★ KN repulsive interaction: $S_{11}(l_{I,2J}) (\Sigma(1660))$
- ★ πN repulsive interaction: $S_{31}(l_{2I,2J}) (\Delta(1620)), \Delta(1910), N(1720)$ etc.

- ★ $\Sigma(1660), \Sigma(1750), \Sigma(1915), \Delta(1620), \Delta(1910), \Delta(1930), N(1720)$ etc. are included in the repulsive part

Ref: SAID [<http://gwdac.phys.gwu.edu>]

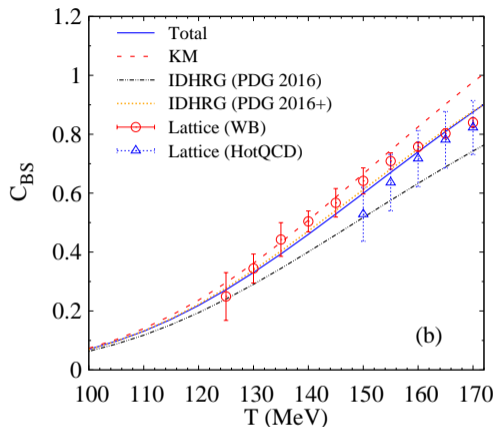
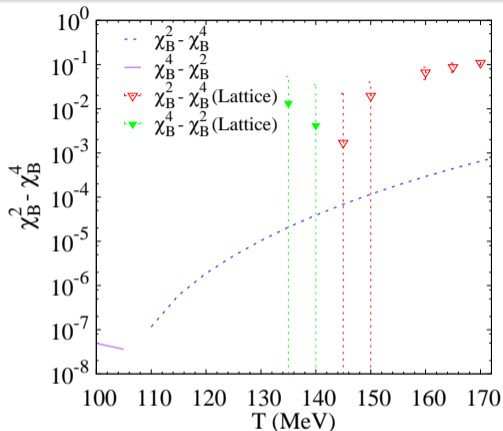
Results



- ★ KM: Attractive interaction
- ★ Total: Attractive + repulsive
- ★ Both KM and Total contain non-interacting part as well
- ★ Repulsive interactions suppress the bulk variables

S. Samanta et al. PRC 99, 044919 (2019)

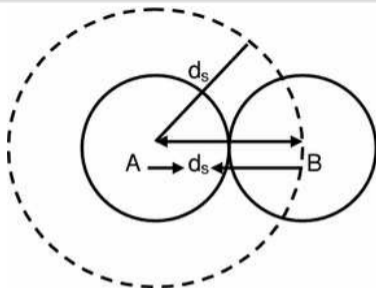
$\chi_B^2 - \chi_B^4$ and C_{BS}



★ $\chi_B^2 - \chi_B^4$ is non-zero

★ For C_{BS} : Improvement compared to IDHRG

Excluded volume hadron resonance gas model



- ★ Hadrons have finite hard-core radii. ($P(V - Nb) = NT$)
- ★ $b = V_{ex} = \frac{16}{3}\pi R^3$ is the volume excluded for the hadron.
- ★ Pressure and chemical potential in EVHRG model:

$$P(T, \mu_1, \mu_2, \dots) = \sum_i P_i^{id}(T, \hat{\mu}_1, \hat{\mu}_2, \dots),$$

$$\hat{\mu}_i = \mu_i - V_{ev,i}P(T, \mu_1, \mu_2, \dots)$$

$$\left(P + \left(\frac{N}{V} \right)^2 a \right) (V - Nb) = NT,$$

$$P(T, n) = \frac{NT}{V - bN} - a \left(\frac{N}{V} \right)^2 \equiv \frac{nT}{1 - bn} - an^2$$

where $n \equiv N/V$ is the number density of particles.

$$P(T, \mu) = P_{id}(T, \mu^*) - an^2, \quad \mu^* = \mu - bP(T, \mu) - abn^2 + 2an$$

$$n = \frac{n_{id}(T, \mu^*)}{1 + bn_{id}(T, \mu^*)}$$

★ $a = 0 \Rightarrow$ EVHRG

★ $a = b = 0 \Rightarrow$ IDHRG

Extraction of parameters a and b

$$a = 1250 \pm 150 \text{ MeV fm}^3, r = 0.7 \pm 0.05 \text{ fm}$$

$$\chi^2 = \sum_{i,j} \frac{(R_{i,j}^{LQCD}(T_j) - R_{i,j}^{model}(T_j))^2}{(\Delta_{i,j}^{LQCD}(T_j))^2},$$

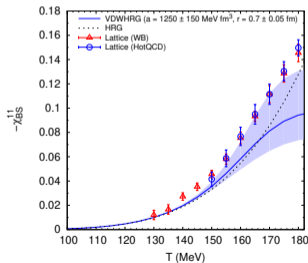
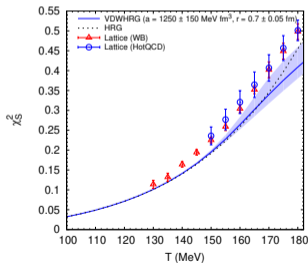
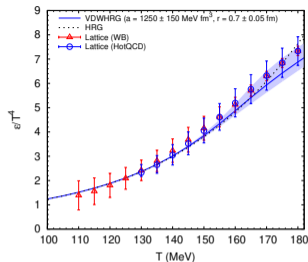
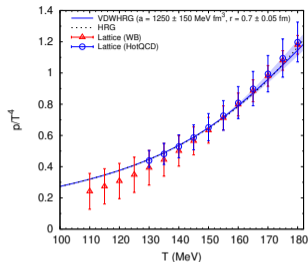
LQCD data of P/T^4 , ε/T^4 , s/T^3 , C_V/T^3 and χ_B^2 at $\mu = 0$ have been used to calculate χ^2

$$a = 329 \text{ MeV fm}^3, r = 0.59 \text{ fm}$$

By reproducing the properties of the nuclear matter ($n_0 = 0.16 \text{ fm}^{-3}$, $E/N = -16 \text{ MeV}$) at zero temperature

Ref: V. Vovchenko et al., PRC **91**, 064314 (2015)

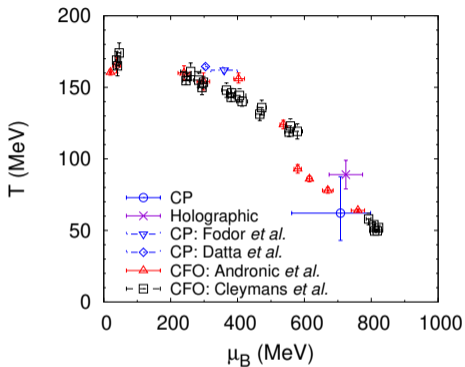
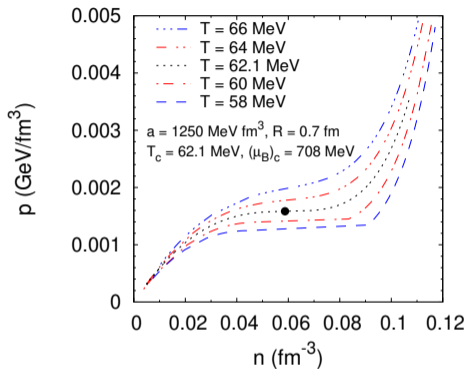
Results- VDWHRG model



★ Agreement between LQCD and VDWHRG

S. Samanta et al., PRC **97**, 015201 (2018)

Phase transition in VDWRG model



- ★ Observed first order phase transition
- ★ Critical point at $T = 62.1$ MeV, $\mu_B = 708$ MeV
- ★ Comparable the CP obtained by using the holographic gauge/gravity correspondence

Summary

- ★ Studied the feasibility of doing fluctuation analysis with conserved charges in Au+Au collisions at 10 AGeV with CBM detector using simulated events from UrQMD.
- ★ Clean proton identification with high purity is possible and hence one can study the net-proton (proxy for net-baryon) higher order moments using CBM detector.
- ★ Efficiency and detector effects were corrected for using unfolding techniques and original distributions and cumulants recovered.
- ★ An extension of HRG model is constructed to include interactions using relativistic virial expansion of partition function (S-matrix formalism)
- ★ Effect of interaction is more visible in $\chi_Q^2, \chi_B^2 - \chi_B^4, C_{BS}$
- ★ We find a good agreement for the C_{BS} (without adding extra resonances) and lattice QCD simulations

Thank you